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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,133	03/17/2004	Clint Miller	TROU1100-2	6133
44654 7590 05/28/2008 SPRINKLE IP LAW GROUP 1301 W. 25TH STREET SUITE 408 AUSTIN, TX 78705			EXAMINER RAYYAN, SUSAN F	
			ART UNIT 2167	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

## Application No.

10/803,133

## Applicant(s)

MILLER ET AL.

## Examiner

SUSAN FOSTER RAYYAN

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 04 February 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SF/ICE)  
Paper No(s)/Mail Date 2/26/08, 3/26/08
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

1. Claims 1-26 are pending.

### ***Specification***

2. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: Claims 12-22 include "computer readable medium" not located in the specification by the Examiner. .

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

**Claims 1-5, 8-16, 19-26 are rejected under 35 U.S.C. 102(a) as being anticipated by US Patent Publication Number 2006/0167927 issued to Joseph Edelstein et al ("Edelstein").**

**As per claim 1** Edelstein anticipates:

translating a query to a set of statements(Figure 2, 230-240 query expressed in ontology language is converted to data schema language) operable to search (Figure2, ref.no. 250:execute query on data sources) the applied data model to

an arbitrary level wherein the applied data model is a representation of an arbitrarily complex environment (see Figure 9 for representing an arbitrarily complex environment) and comprises at least one component (paragraph 26, lines 5-6, as subject class) and a relationship ) corresponding to at least one component (paragraph 26, line 8, as property or composition of properties), wherein the at least one component represents an entity in the arbitrarily complex environment (see Figure 9, for representing an arbitrarily complex environment and PERSON/CHILD/SCHOOL as entities) wherein the relationship represents an association between the entity and the other entities in the arbitrarily complex environment (see Figure 9 and "Mother" represents a relationship between entities PERSON and CHILD), and wherein the query is a component query (paragraph 85, lines 5, as SELECT Child.name, Child.mother .name) or a relationship query(paragraph 85, lines 7, as WHERE Child.school\_attending.location="London" (paragraph 19, as convert a query referring to the central ontology model into a corresponding query referring to a data schema within the central ontology model and convert it into queries and paragraph 20, user can select a class (arbitrary level )see Figure 9: Child and Person and School represents components and reference numbers 9 for example represents a relationship)); searching the applied data model to the arbitrary level based on the set of statements (paragraph 20, select a class) translated from the query, wherein the query is in a first query language and

wherein the set of statements is capable of execution by a database management system supporting a second query language (Figure 2, 230-240 query expressed in ontology language is converted to data schema language); producing a set of replies to the set of statements wherein the set of replies includes at least one component or one relationship at the arbitrary level (paragraph 20, select a class and paragraph 85, the ontology language query (set of statements) returns results as a list of children's names and mother's names); processing the set of replies based on the query (paragraph 73, display messages regarding results of data locating process).

**As per claim 2**, same as claim arguments above and Edelstein anticipates: wherein the set of statements is tailored to a table schema (paragraphs 84-85 a ontology language query (set of statements) and Table IV: Mapping from Source schema to Ontology and paragraph 53, 56, data schema query language).

**As per claim 3**, same as claim arguments above and Edelstein anticipates: wherein the table schema implements a data model (paragraph 22, paragraph 84- Table IV: Mapping from Source schema to Ontology and Figure 9).

**As per claim 4**, same as claim arguments above and Edelstein anticipates: wherein the table schema represents a graph of the applied data model (paragraph 84, Table IV and Figure 9).

**As per claim 5**, same as claim arguments above and Edelstein anticipates:

... operable to perform a graph search (paragraph 84, Table IV and Figure 9).

**As per claim 8**, same as claim arguments above and Edelstein anticipates: wherein processing the set of replies comprises structuring the results (paragraph 54, query processor and paragraph 78, generating location-based reports).

**As per claim 9**, same as claim arguments above and Edelstein anticipates: wherein the results are structured based on the query (paragraph 54, query processor and paragraph 78, generating location-based reports).

**As per claim 10**, same as claim arguments above and Edelstein anticipates: returning the processed results (paragraph 54, query processor and paragraph 78, generating location-based reports and paragraph 73, returning the results of the query).

**As per claim 11**, same as claim arguments above and Edelstein anticipates: wherein the processed results are formatted based on the query (paragraph 73 and paragraph 104, results of the data query are returned, paragraph 54, query processor and paragraph 78, generating location-based reports).

**As per claim 23** Edelstein anticipates: translating a query to a set of statements (Figure 2, 230-240 query expressed in ontology language is converted to data schema language) operable to search

(Figure2, ref.no. 250:execute query on data sources ) an applied data model to an arbitrary level wherein the applied data model is a representation of an arbitrarily complex environment (see Figure 9 for representing an arbitrarily complex environment)and comprises at least one component (paragraph 26, lines 5-6, as subject class) and a relationship (paragraph 26, line 8, as property or composition of properties) corresponding to at least one component, wherein the at least one component represents an entity in the arbitrarily complex environment(see Figure 9, for representing an arbitrarily complex environment and PERSON/CHILD/SCHOOL as entities)

, wherein the relationship represents an association between the entity and the other entities in the arbitrarily complex environment (see Figure 9 and "Mother" represents a relationship between entities PERSON and CHILD),

and wherein the query is a component query (paragraph 85, lines 5, as SELECT Child.name, Child.mother .name) or a relationship query (paragraph 85, lines 7, as WHERE Child.school\_attending.location="London" (paragraph 19, as convert a query referring to the central ontology model into a corresponding query referring to a data schema within the central ontology model and convert it into queries and paragraph 20, user can select a class (arbitrary level )see Figure 9: Child and Person and School represents components and reference numbers 9 for example represents a relationship); searching the applied data model to the arbitrary level based on the set of statements (paragraph 20, select a class) translated from the query,

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wherein the query is in a first query language and wherein the set of statements is capable of execution by a database management system supporting a second query language (Figure 2, 230-240 query expressed in ontology language is converted to data schema language);

and wherein the set of statements implements a graph search (Figure 9);  
producing a set of replies to the set of statements wherein the set of replies includes at least one component or one relationship at the arbitrary level (paragraph 20, select a class and paragraph 85, the ontology language query (set of statements) returns results as a list of children's names and mother's names);

processing the set of replies based on the query (paragraph 73, display messages regarding results of data locating process).

wherein the set of statements implements a graph search (Figure 9).

**As per claim 24**, same as claim arguments above and Edelstein anticipates:

Wherein the query specifies the arbitrary level (column 20, as a user can select a class).

**As per claim 25**, same as claim arguments above and Edelstein anticipates:

Wherein the query specifies the arbitrary level (column 20, as a user can select a class).



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**As per claim 26**, same as claim arguments above and Edelstein anticipates:

Wherein the query specifies the arbitrary level (column 20, as a user can select a class).

**Claims 12-16, 19-22** are rejected based on the same rationales as claims 1-5, 8-11 above.

#### **Claim Rejections - 35 USC § 103**

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 6-7, 17-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Publication Number 2006/0167927 issued to Joseph Edelstein et al (“Edelstein”) as applied to claims 5, 16 above, and further in view of US Patent Number 6,509,898 issued to Ed H. Chi et al (“Chi”).**

**As per claim 6**, same as claim arguments above and Edelstein does not explicitly teach ... a breadth first graph search. Chi does teach this limitation (Abstract) to efficiently retrieve data. It would have been obvious to one of

ordinary skill in the art at the time of the invention to modify Edelstein with a breadth first search to efficiently retrieve data.

**As per claim 7**, same as claim arguments above and Edelstein teaches: wherein the set of statements is in SQL (paragraph 5, paragraph 62).

**Claims 17-18** are rejected based on the same rationale as claims 6-7.

### ***Response to Arguments***

5. Applicant's arguments filed February 4, 2008 have been fully considered but they are not persuasive.

Applicant argues Edelstein does not teach translating a query to a set of statements operable to search an applied data model to an arbitrary level, wherein the applied data model is a representation of an arbitrarily complex environment. Edelstein does teach this at (Figure 2, 230-240 query expressed in ontology language is converted to data schema language, Figure 2, ref.no. 250: execute query on data sources, see Figure 9 for representing an arbitrarily complex environment).

Applicant argues prior art of record does not teach a breadth first graph search. Chi does teach this limitation (Abstract) to efficiently retrieve data. It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Edelstein with a breadth first search to efficiently retrieve data. A breadth first search is well known in the art.

***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

**Contact Information**

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan F. Rayyan whose telephone number is 571-272-1675. The examiner can normally be reached on M-F, 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The

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fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John R. Cottingham/  
Supervisory Patent Examiner, Art  
Unit 2167

Susan Rayyan  
May 8, 2008